



11760

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/721,780
		Filing Date	November 26, 2003
		First Named Inventor	Richard T. RAINES
		Group Art Unit	3625
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	023880-6

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449 with 74 references
Remarks		<input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	<u>Daniel S. Song, Reg. No. 43,143</u> Nixon Peabody LLP 401 9th Street, N.W. Suite 900 Washington, D.C. 20004-2128
Signature	
Date	November 15, 2004

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office P.O. Box 1450, Alexandria, Virginia 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.			
Name (Print/Type)			
Signature		Date	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
Richard T. RAINES et al.) Group Art Unit: 3625
Serial No. 10/721,780) Examiner: Unknown
Filed: November 26, 2003) Confirmation No. 5086
For: SYSTEM AND METHOD FOR)
DETERMINING VEHICLE PRICE) Date: November 15, 2004
ADJUSTMENT VALUES)

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. In accordance with the PTO notice dated July 11, 2003, waiving the requirement under 37 CFR 1.98(a)(2)(i) for submitting copies of each cited U.S. Patent, for all U.S. national patent applications filed after June 30, 2003, no copies of U.S. Patents are enclosed. However, copies of foreign patents and non-patent literature are submitted under 37 CFR 1.98(a)(2).

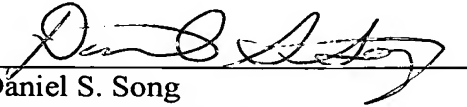
At least some of the references listed on PTO Form-1449 are discussed in the specification of the present application.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

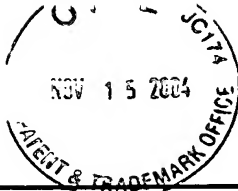
It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,


Daniel S. Song
Registration No. 43,143

NIXON PEABODY LLP
Suite 900, 401 9th Street, N.W.
Washington, D.C. 20004-2128
(202) 585-8000



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/721,780
				Filing Date	November 26, 2003
				First Named Inventor	Richard T. RAINES
				Art Unit	3625
Sheet		of		Examiner Name	
				Attorney Docket Number	023880-6

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-5,127,005	06/30/1992	Oda, <i>et al.</i>	
	2	US-5,598,511	01/28/1997	Petrinjak, <i>et al.</i>	
	3	US-5,899,985	05/04/1999	Tanaka	
	4	US-6,052,631	04/18/2000	Busch, <i>et al.</i>	
	5	US-6,098,061	08/01/2000	Gotoh, <i>et al.</i>	
	6	US-6,397,131 B1	05/28/2002	Busch, <i>et al.</i>	
	7	US-6,505,106	01/07/2003	Lawrence, <i>et al.</i>	
	8	US-2002/0194051 A1	12/19/2002	Hall, <i>et al.</i>	
	9	US-6,259,354 B1	07/10/2001	Underwood, <i>et al.</i>	
	10	US-4,989,144	01/29/1991	Barnett, <i>et al.</i>	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ² (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			<i>Complete if Known</i>		
			Application Number	10/721,780	
			Filing Date	November 26, 2003	
			First Named Inventor	Richard T. RAINES	
			Group Art Unit	3625	
Examiner Name					
Sheet		of		Attorney Docket Number	023880-6

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	11	"Research Proposal for Carfax," dated September 8, 2003	
	12	"Saturn Retail Project," date printed May 2001 – September 2001	
	13	"Carfax Rental Car Valuation Exercise," for 2000 Honda Accord	
	14	www.kbb.com , "Kelley Blue Book," Advice, New Car Values/Used Car Values, date printed 7/12/2004	
	15	www.kbb.com , "Kelley Blue Book," Condition Quiz – Appraise Your Own Vehicle, 2002 BMW 7 Series 745Li Sedan 4D, date printed 6/10/2003	
	16	www.kbb.com , "Kelley Blue Book," Blue Book Trade-In Report, 2002 BMW 7 Series 745Li Sedan 4D, date printed 6/10/2003	
	17	www.kbb.com , "Kelley Blue Book," Trade-In," 2002 BMW 7 Series 745Li Sedan 4D, date printed 6/10/2003	
	18	www.edmunds.com , "Used Cars, 2001 BMW 7 Series, Used Car Appraiser, Step 1 – Step 6," date printed 6/10/2003	
	19	www.edmunds.com , "Certified Pre-Owned Cars," date printed 7/12/2004	
	20	auto.consumerguide.com , "The Truth About Cars," date printed 6/10/2003	
	21	"Powered by Intelliprice," 2001 BMW 7 Series 4D Sedan 740iL, specify vehicle to trade, pps. 1 of 1, date printed 6/10/2003	
	22	"Powered by Intelliprice," 2001 BMW 7 Series 4D Sedan 740iL, Verify Equipment, p. 1 of 1, date printed 6/10/2003	
	23	"Powered by Intelliprice," 2001 BMW 7 Series 4D Sedan 740iL, Describe the condition of your vehicle, p. 1 of 1, date printed 6/10/2003	
	24	http://nada.org , "National Automobile Dealers Association Online," Home Page, pps. 1 of 2, date printed 7/15/2004	
	25	www2.nadaguides.com , "Build and Price a New Car," Request a new car quote, p. 1 of 1, date printed 7/12/2004	
	26	www2.nadaguides.com , "Build and Price a New Car," Select Body Style or Category, pps. 1 of 2, date printed 7/12/2004	
	27	www2.nadaguides.com , "Build and Price a New Car," Select Mileage & Options, pps. 1 of 2, date printed 7/12/2004	
	28	www2.nadaguides.com , "Build and Price a New Car," Vehicle Report, pps. 1 of 2, date printed 7/12/2004	
	29	www.intellichoice.com , "Used Car Truck SUV Research by Make Model Price," pps. 1 of 2, date printed 7/14/2004	
	30	www.intellichoice.com , "2000 Mercury Mystique – At a Glance," Vehicle Report, pps. 1 of 2, date printed 7/14/2004	

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	31	www.intellichoice.com , "2000 Mercury Mystique – Used Valuation," Vehicle Report, pps. 1 of 3, date printed 7/14/2004	
	32	www.intellichoice.com , "2000 Mercury Mystique – Used Valuation Adjusted," Vehicle Report, pps. 1 of 2, date printed 7/14/2004	
	33	www.autocheck.com , "AutoCheck Vehicle History Reports: Free VIN Check," p. 1 of 1, date printed 7/14/2004	
	34	www.autocheck.com , "Welcome to AutoCheck," pps. 1 of 4, date printed 7/12/2004	
	35	www.autocheck.com , "Sample Reports," An AutoCheck Clean Bill of Health: 2G1WL54T4L9101564, 1990 Chevy Lumina, pps. 1 of 3, date printed 7/12/2004	
	36	www.kkb.com , "Kelley Blue Book," Blue Book Trade-in Report, 2002 BMW 7 Series 745 Li Sedan 4D, p. 1 of 2, date printed 6/10/2003	
	37	www.autotrader.com , "Used Cars, Sell Your Car, Auto Financing & Insurance," p. 1 of 1, date printed 7/12/2004	
	38	www.autotrader.com , "Used Cars For Sale: Step 2 of 2," p. 1 of 1, date printed 7/12/2004	
	39	www.autotrader.com , "Used Cars For Sale: Step 2 of 2," p. 1 of 2, date printed 7/12/2004	
	40	www.autotrader.com , "Used Cars For Sale: Car Details," pps. 1 of 2, date printed 7/12/2004	
	41	www.autotrader.com , "Find Your Car: Search Results," pps. 1 of 2, date printed 7/12/2004	
	42	www.cars.com , "Listings and research to connect you with the right car," pps. 1 of 2, date printed 7/12/2004	
	43	www.cars.com , "Search Results," pps. 1 of 2, date printed 7/12/2004	
	44	www.cars.com , "1989 Ford Mustang LX Sport," pps. 1 of 2, date printed 7/12/2004	
	45	www.carmax.com , "The Online Auto Superstore" Home Page, p. 1 of 1, date printed 7/12/2004	
	46	www.carmax.com , "The Online Auto Superstore: Quick Search," p. 1 of 1, date printed 7/12/2004	
	47	www.carmax.com , "The Online Auto Superstore: Search Results," pps. 1 of 2, date printed 7/12/2004	
	48	www.carmax.com , "The Online Auto Superstore: Clean Title," p. 1 of 1, date printed 7/12/2004	
	49	www.odnhtsa.dot.gov , "Office of Defects Investigation," p. 1 of 1, date printed 7/14/2004	
	50	www.odnhtsa.dot.gov , "Office of Defects Investigation, Cars/Problems/Recalls," pps. 1 of 2, date printed 7/14/2004	
	51	www.dchusedcars.com , "DCH Auto Group, Used Car CyberLot of New Jersey – Freehold, New Jersey," Inventory, p. 1 of 1, date printed 7/15/2004	
	52	www.dchusedcars.com , "DCH Auto Group, Used Car CyberLot of New Jersey – Freehold, New Jersey," Inventory-results, p. 1 of 1, date printed 7/15/2004	
	53	www.dchusedcars.com , "DCH Auto Group, Used Car CyberLot of New Jersey – Freehold, New Jersey," Certifications, pps. 1 of 3, date printed 7/15/2004	

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	54	www.autos.yahoo.com , "New car prices, car pictures, car reviews, used cars, used car prices on Yahoo!", pps. 1 of 3, date printed 7/15/2004	
	55	www.used-cars.autos.yahoo.com , "Fairfax Used Cars BMW – Fairfax VA Used BMW Cars," pps. 1 of 3, date printed 7/15/2004	
	56	www.carprices.com , "Car Prices, New car prices, Used car prices, Auto financing and car research," pps. 1 of 2, date printed 7/15/2004	
	57	www.inventory.carprices.com , Match Maker," p. 1 of 1, date printed 6/23/2003	
	58	www.mbusa.com , "Find Starmark Certified pre owned Mercedes-Benz cars at mbusa.com," p. 1 of 1, date printed 7/15/2004	
	59	www.mbusa.com , Untitled, p. 1 of 1, date printed 7/15/2004	
	60	www.mbusa.com , "Search Results," for 2004 Convertible, pps. 1 of 2, date printed 7/15/2004	
	61	www.autoweb.com , "Used Cars for Sale, New Car Pricing by Autoweb/New and Used Car Prices/Autoweb," pps. 1 of 2, date printed 7/15/2004	
	62	www.web1.intellipriceauto.com , "Identificaiton Page," p. 1 of 1, date printed 7/15/2004	
	63	www.stoneage.com , "Building New Roads for the Automotive Community," p. 1 of 1, date printed 7/15/2004	
	64	www.car.com , "Building New Roads for the Automotive Community," pps. 1 of 2, date printed 7/15/2004	
	65	www.car.com , "New Vehicle Quote Process," pps. 1 of 2, date printed 7/15/2004	
	66	www.car.com , "List My Car for Sale – Step 1," p. 1 of 1, date printed 7/15/2004	
	67	www.car.com , "My Car's Value," pps. 1 of 2, date printed 7/15/2004	
	68	www.car.com , "My Car's Value – Estimate Process, Step 2," pps. 1 of 2, date printed 7/15/2004	
	69	www.car.com , "My Car's Value – Estimate Process, Step 3," pps. 1 of 2, date printed 7/15/2004	
	70	www.carsmart.com , "New and Used Car Prices," pps. 1 of 2, date printed 7/14/2004	
	71	www.web1.intellipriceauto.com , "Identification Page," p. 1 of 1, date printed 7/14/2004	
	72	www.web1.intellipriceauto.com , "Your Vehicle Condition," p. 1 of 1, date printed 7/14/2004	
	73	www.web1.intellipriceauto.com , "Your Contact Information," p. 1 of 1, date printed 7/14/2004	
	74	www.dealernet.com , "The Key to Your Car, Welcome to Dealernet Prices and Reviews," p. 1 of 1, date printed 7/15/2004	
	75	www.dealernet.com , "The Key to Your Car, Trade-In Values, p. 1 of 1," date printed 7/15/2004	
	76	www.dealernet.com , "The Key to Your Car, Trade-In Values for the 2000 Mercury Mystique 4dr Sedan LS," p. 1 of 1, date printed 7/15/2004	
	77	www.dealernet.com , "The Key to Your Car, Trade-In Values for the 2000 Mercury Mystique 4dr Sedan LS with Mileage Adjustment," p. 1 of 1, date printed 7/15/2004	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.